

# APPLICATION

For Lawyers Professional Liability Insurance | A Claims Made & Reported Policy



## Multiple Attorney Supplement - Section 1.

	Yes*	No	
1			Has any professional liability claim or suit been made against any attorney listed in the Attorney Roster within the last 5 years, regardless of whether or not a loss, damages or indemnity were paid? <b>*If yes, identify the attorney, the number of claims and Complete a Claim Information Supplement for each claim.</b>
2			Is any attorney listed on the Attorney Roster aware of or have knowledge of any potential claim, fact, circumstance, act, error, or omission that could reasonably be expected to be the basis of a claim against any current or former Attorney in the Firm or its predecessors, <b>regardless of the merit</b> of such claim or potential claim? <b>*If yes, identify the attorney, the number of potential claims and Complete a Claim Information Supplement for each potential claim.</b>
3			Has any attorney listed on the Attorney Roster been the subject of any investigation, inquiry, disciplinary complaint or proceeding before any court, administrative agency, or regulatory body, including but not limited to the SEC, or office of any state Bar disciplinary counsel within the past five (5) years? <b>*If yes, identify which attorney (s) and provide a copy of each complaint, answer and/or resolution of the complaint.</b>
4			Has any attorney listed on the Attorney Roster ever been refused admission to practice, disbarred or suspended from practice, or formally reprimanded by any court, administrative agency or regulatory body? <b>*If yes, identify which attorney (s) and provide complete details and any supporting documentation.</b>
5			Does any attorney listed in the Attorney Roster or will any attorney listed in the Attorney Roster render professional legal services to any for-profit Organization in which that individual serves as an owner, officer, director, employee, general counsel, or other fiduciary, or in which you serve as an owner, officer, director, employee, general counsel, or other fiduciary, or in which that attorney serves in any capacity to directly or indirectly control, operate or manage such entity? <b>*If yes, identify which attorney (s) and complete the Outside Interest Supplement.</b>
6			Does any attorney listed on the Attorney Roster render professional legal services on behalf of any other Organization or Law firm? <b>*If yes, identify which attorney (s) and the name of the other Organization of Law Firm.</b>

The Authorized Person signing below hereby represents to and assures ALPS Property & Casualty Insurance Company ("ALPS") that the information contained in this application supplement is true and correct and that this application supplement: (i) shall be a material part of the Firm's Application for Lawyers Professional Liability Insurance and is subject to the same terms and conditions; and (ii) shall be deemed incorporated into any insurance policy ALPS may issue to the applicant Firm.

Signature of Authorized Person

Date (mm/dd/yyyy)

Multiple Attorney Supplement - Section 2 Attorney Roster Template

Firm Name: \_\_\_\_\_

[illegible]

Multi-Attorney Supplement -Section 3 - Areas of Practice							
Attorney Name (last Name, First Name)							
Area of Practice Categories	Enter the % of AOP in each category the attorney practices. Each attorney's total must equal 100%						
Admiralty/Maritime							
Anti-Trust/ Trade Reg							
Arbitration/Mediation							
Bankruptcy							
Civil Litigation- Plaintiffs*							
Civil Rights - Plaintiff*							
Class Action - Plaintiff*							
Personal Injury*							
Civil Litigation - Defense*							
Insurance Defense*							
Collections - Commercial							
Collections - Consumer							
Corporate/Business							
Cannabis							
Cryptocurrency							
Copyright/Trademark/Service mark							
Criminal							
Domestic Relations/Family Law							
Entertainment/Sports							
Estate/Trust/Wills<\$5m*							
Estate/Trust/Wills \$5m - \$10m*							
Estate/Trust/Wills>\$10m*							
ERISA/Employee Benefits							
Financial Institutions/Banking							
Gaming/Casino/Representation							
Government/Municipal							
Immigration							
International Law							
Labor Law/Employee Relations							
Mergers and Acquisitions							
Natural Resources/Water Rights							
Oil/Gas							
Patents*							
Public Utilities							
Real Estate Commercial*							
Real Estate Residential*							
Real Estate/Building Contracts*							
Securities Exempt/Bonds*							
Securities/Registered Offerings*							
Social Security							
Taxation							
Workers Compensation							
Other,							
Description of other (required):							
Total							
* Supplement is required for these areas of practice							