

APPLICATION

For Lawyers Professional Liability Insurance | A Claims Made & Reported Policy



Patent Supplement

Includes the prosecution or defense of any civil action (i.e. not criminal in nature)

Patent includes all aspects of the registration, protection and licensing of patents; practice before federal and state courts in actions for infringement and other actions; the prosecution of applications before the United States Patent and Trademarks Office; counseling with regard to the law of unfair competition as it relates to patents. Patent Prosecution is actively representing a client in securing intellectual property protection for an idea or writing. It does not include preserving or defending a client's intellectual property rights once secure.

If more than one attorney in the Firm practices in this area, one supplement will suffice. All attorneys indicating Patent work on their Individual Attorney Supplement must be listed below.

Name of Firm: _____

1. Please provide the following information regarding any attorneys in the Firm who practice in the area of **Patent Law**:

Name of Attorney:	Years of Patent Experience	Advanced Education or Degrees	Registered with the USPTO	
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Please complete a separate attachment for additional attorneys who practice in this area.

2. How many Patent cases are handled by the Firm annually? _____

3. What percentage of the Firm's total fees are generated by Patent work? _____%

4. What percentage of Patent Work is done for international clients? _____%

5. Does the Firm provide infringement counseling? ☐ Yes ☐ No

6. What procedures does the Firm have in place to track long-term patent deadlines?

7. Do you file any patents outside of the United States? ☐ Yes* ☐ No

***If yes, please explain and list the locations:**

8. Does the Firm engage in Patent Prosecution? ☐ Yes* ☐ No

***If yes, what percentage of the Firm's total fees involve Patent Prosecution?** _____%

By separate attachment, provide details on the size and type of clients; also describe the Firm's process for patent searches and the Firm's expertise in the area of Patent Prosecution.

9. What percentage of defense of Patents is involved? _____%

10. What percentage of enforcement of Patents is involved? _____%

11. Does the Firm accept any ownership or interest in their client's patent in lieu of fees? ☐ Yes ☐ No

The Authorized Person signing below hereby represents to and assures ALPS Property & Casualty Insurance Company ("ALPS") that the information contained in this application supplement is true and correct and that this application supplement: (i) shall be a material part of the Firm's Application for Lawyers Professional Liability Insurance and is subject to the same terms and conditions; and (ii) shall be deemed incorporated into any insurance policy ALPS may issue to the applicant Firm.

Signature of Authorized Person

Date (mm/dd/yyyy)